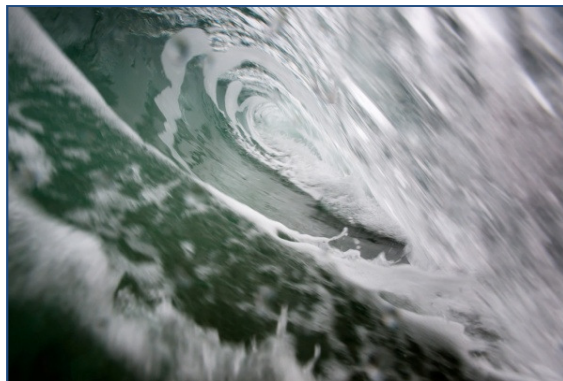


Birds and wave & tidal stream energy: an ecological review

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Executive summary

The UK government has a target for sourcing 15% of energy from renewable sources by 2020. A significant component of this is likely to be produced by wave and tidal stream energy convertors. At present a total of over 30 such projects in UK waters are under operation, testing or development, and large scale investment is being made into the development of suitable technology. Once the technology is developed there will be an influx of applications for the installation and operation of arrays of wave and tidal stream devices, but these will have as yet undetermined impacts on the marine environment. An important component of this environment is seabirds and the UK hosts a seabird assemblage of outstanding international importance. This review is a response to the lack of knowledge on how these emerging technologies will impact on this assemblage, as well as some other potentially affected species, and it aims to use an ecological approach to understand the potential nature of these impacts. Currently, there is very limited experience of operational wave and tidal stream devices at sea, and hence very little information about their impacts on marine birds. It is therefore necessary to only make inferences about *potential* impacts from a theoretical background, based on review of current technological and ecological knowledge.

Marine birds can be potentially affected by wave and tidal stream developments in a number of ways. These may be direct or indirect, adverse or beneficial, temporary or long term. In the majority of cases, little or nothing is known about the likelihood of occurrence or the scale of such an impact. Impacts are likely at three distinct stages in the life of a development, installation, operation and decommissioning, and in this review installation and decommissioning are considered together. During installation and decommissioning, the threats to seabirds will be from collision, disturbance, habitat exclusion and displacement, changes to sedimentary processes, and pollution. These threats will also apply during operation, in addition to potential habitat creation and a possible increase in predation.

While the risks to seabirds from wave and tidal stream devices are largely undefined, this review takes the approach of examining the component parts of such devices and to drawing structural parallels with existing human activities. Such component parts are vessels, sea bed structures, mooring equipment, surface structures, turbines, traps and attractants, either lights or fish aggregating devices. In addition ecological aspects of the receptors, marine birds, are also examined. These are physiology, that is visual and aural sensitivity; geography, breeding, wintering, foraging, rafting and moulting areas, including protected areas; demography, potentially affected birds in the context of their biogeographical population; and foraging ecology, mode and rhythm.

Existing wave and tidal stream devices are described, in generic terms, to reflect the fact that the technology is evolving rapidly, and detailed descriptions of individual devices are likely to be superseded. However, an appendix lists those devices that are currently operational or in development. The review then details the suite of UK marine bird species that will potentially be affected and describes the ecological characteristics of each, in terms of conservation status, distribution, ecology and vulnerability. This section of the review also contains coastal waders, raptors and crows that could be potentially affected, notably by disturbance.

For any development, ornithological surveys will be required, and these surveys will then be used to determine potential receptors and impacts. These surveys should not just be of the immediate development area, but should also provide ecological context, and so the methods used for survey must be carefully considered. As seabird distribution is stochastic, densities and behaviours are highly variable and therefore need to be surveyed with a high spatial and temporal resolution. Understanding the mechanisms of this natural variability is vital for any assessment of whether a development has caused change in bird behaviour or distribution. Therefore, distribution

patterns need to be described in the context of a variety of biotic and abiotic factors and coverage must include as broad a variety of conditions as logistically and safely possible. Surveys may include components of desk study, boat survey, aerial survey and remote sensing.

In this review we have noted that there is a paucity of applicable data on the impacts of wave and tidal devices on birds. This lack of data has implications for understanding the overall impacts of these novel technologies, and for impact assessment of individual schemes. In the final section these knowledge gaps and approaches to filling them are discussed. The key information that is lacking can be summarised as spatial and behavioural. We identify the practical issues for data collection: a need for standardisation throughout the industry, the use and development of remote sensing, further refinement of modelling techniques; refining sensitivity indices, defining the scale of impacts, and cumulative impact assessment.

Introduction

As a signatory to the EU Renewable Energy Directive, the UK government has a target for sourcing 15% of energy from renewable sources by 2020 (EU Renewable Energy Directive 2008). The Carbon Trust has estimated that around one sixth of this target could be provided by marine renewables, that is, wave and tidal stream devices (Carbon Trust 2006). In order to accelerate the progression of the technology needed to achieve this aim; in 2004 the Department for Trade and Industry (DTI) announced a £50 million Marine Deployment Fund to enable full scale performance testing and deployment of the first small arrays of devices. At the same time, the European Marine Energy Centre (EMEC) in Orkney was opened and the Carbon Trust launched its Marine Energy Challenge. The UK and devolved governments have all published Strategic Environmental Assessments (SEA, Directive 2001/42/EC) in preparation for offshore developments. In December 2008, the Scottish Government launched the £10 million Saltire Prize for marine renewable energy innovation. In March 2010, the Crown Estate announced the first commercial wave and tidal stream leasing round, for 1200MW installed capacity, from ten projects in the Pentland Firth and Orkney Waters of Scotland, by 2020 (http://www.thecrownestate.co.uk/our_portfolio/marine/wave-tidal/pentland-firth-orkney-waters.htm). Most recently, the Crown Estate announced a further eight new offshore sites for wave and tidal developments. The majority of these are in Scottish waters and range from small technology test schemes, for short-term installation, to commercial projects each with up to 30 MW potential generating capacity. This brings the total to over 30 projects in UK waters.

Clearly, once the technology is developed there will be an influx of applications for the installation and operation of arrays of wave and tidal stream devices, which will not only be novel in design, but will have as yet undetermined impacts on the marine environment. An important component of this environment is seabirds and the UK hosts a seabird assemblage of outstanding international importance. This review is a response to the lack of knowledge on how these emerging technologies will impact on this assemblage, and some other potentially affected species, and it uses an ecological approach to understand the potential nature of these impacts.

The review is structured as follows:

There are five sections; a theoretical background, an evaluation of device types, ornithological species accounts, a description of survey methods and the identification of information gaps and research requirements. The first describes the effects of marine energy developments in functional terms, rather than specific terms, detailing the effects, the sources of these effects and the receptors (ie birds) of the effects. The effects and the sources of these effects are described in terms of ecological impact, rather than mechanical category. The receptors are described in terms of the interaction of physiology, geography, demography and ecology, with the effects of the energy device and infrastructure.

The second section reviews wave and tidal stream marine energy devices. As this industry is evolving and changing rapidly, these devices are described generically, rather than as specific models.

The third section presents species accounts for those birds most likely to be affected by wave and tidal stream devices, either directly or indirectly, with reference to the theoretical background described in section one. As well as UK seabirds, other species – several coastal waders, cliff nesting raptors and crouching – are included here because of the potential for disturbance from construction and maintenance vehicles and personnel on the shore. Best practice measures including timing, screening and containing onshore activity will be sufficient to mitigate for disturbance effects in most cases. Bird species nomenclature is given as the English vernacular, with the scientific

name given in the species account heading (vernacular names are from 2010 BOU update see <http://thebritishlist.blogspot.com/2009/01/british-list-1-jan-2009.html>). The fourth section reviews and evaluates current survey methods for Environmental Impact Assessment (EIA), and the fifth section identifies knowledge gaps and makes recommendations for further research.

There are four summary tables in the appendix. The first of these summarises the vulnerability of each species to the threats generated by marine renewable devices based on the ecological information presented in sections one and two. The second table summarises how different functional elements of the devices may generate the threats detailed in the first table and in the text. The purpose of these tables is to summarise the text; it must be stressed that relevant text also should be read, as much that is summarised is, by necessity, subject to caveats and assumptions which are presented in the text. The third table lists devices that currently are operational or under development, with web links to further information. The final table provides guidance on information requirements and suitable methodologies for EIA, and is organised in response to a series of questions to which the EIA should provide answers.

1. Theoretical background

Currently, there is very limited experience of operational wave and tidal stream devices at sea, and hence very little information about their impacts on marine birds. Instead of such direct information, this section makes inferences about *potential* impacts from a theoretical background of the type of effects likely, the probable sources of these effects and likely key receptors of these effects, namely birds, (although marine mammals and fish will also be receptors, they are not included in this review). These inferences will be derived from our existing knowledge of marine processes, engineering and bird ecology and behaviour. More detail on specific devices and species is presented in Sections 2 and 3.

Effects

Marine birds can be potentially affected in a number of ways. These may be direct (eg from the device itself) or indirect (eg reducing visibility by increasing turbidity), adverse (eg collision mortality) or beneficial (eg creation of foraging habitat). Additionally, the impacts may be temporary or long-term and last the lifetime of the device. In most cases, little or nothing is known about the likelihood of occurrence or scale of impact. Furthermore, an understanding of the cumulative effects is crucial. Generally, it is assumed that the direct effects of decommissioning a site will be similar to those associated with construction (Gill, 2005), so they are considered together here.

Installation/Decommissioning

The greatest impacts likely during construction and decommissioning are through direct habitat change or destruction, noise and vibration, and altered sedimentary processes (Inger *et al.*, 2009).

Collision

During installation and decommissioning, the primary collision risk will be with vessels, such as boats and helicopters. These are likely to be stationary or moving slowly in comparison with other commercial vehicles. While collisions with installation vessels appear no more likely than with other marine vessels no empirical data exist to evaluate this (Wilson *et al.*, 2006), and the numbers of vessels and frequency of traffic during installation will increase the level of risk. Collision could occur in two situations; either the bird flies or dives into the vessel, or the vessel collides with for example, rafting birds. Given that data are sparse, relating to any types of collisions with these species, the most appropriate analogue may be wind turbines, albeit they protrude above the water surface to a far greater extent. The most applicable data comes from the Danish offshore wind energy industry. For example, radar studies carried out as part of EIA, show that birds (predominantly eiders) entering offshore wind farms tend to re

environment, these local environmental factors could be transposed to currents and water turbulence. Birds that feed on discards are known to be actively attracted to boats, which may increase their risk of collision or entanglement.

Disturbance/habitat exclusion/displacement

While there are data demonstrating that construction noise will have effects on other taxa such as mammals and fish, which can detect pile driving noise over considerable distances, there are very few data on birds. Levels of marine noise are likely to be considerably greater during device installation, especially from pile driving, than during operation. There are however mitigation measures to attenuate noise levels from pile driving which are estimated to reduce the distance at which it could affect marine mammals by at least 66% (Nehls *et al.*, 2007), and so presumably to reduce the effect on birds. The most likely response of birds to noise disturbance is avoidance; for example underwater noise has been used to prevent waterfowl from foraging (Ross *et al.*, 2001). Noise also may have an impact on fish prey species. The ability to detect higher frequency noise in fish is strongly linked to the presence of a swim bladder and whether it has a connection with the ear (Wahlberg and Westerberg, 2005); those with both, such as clupeids have the greatest ability, those with neither such as flatfish have a low ability, and those with an unconnected swimbladder, such as gadoids (eg cod) have a moderate ability. It is however, unclear what the effect may be, whether restricted to masking communication and orientation signals or causing physiological damage or avoidance reactions. In turn, the implications of any impact on the seabird populations are unclear.

Physical disturbance is likely to be a temporary impact, albeit effects may continue for several years, representing a physical or visual intrusion. The most probable response will be avoidance. While this will not have a direct impact on mortality, there may be implications for foraging success, hence for individual survival and breeding success, for instance because of reduced provisioning rates. The magnitude of impacts will be determined in part by the extent and suitability of alternative habitat, and so the cumulative impact of multiple developments is an extremely important consideration.

There are increasing, although still scant, data on the response of certain species to disturbance; for example common scoter are sensitive to disturbance caused by moving vessels at a distance of up to 2km from the vessel (Kaiser *et al.*, 2002; Kaiser *et al.*, 2006) and seem to show no habituation to these vessels (Schwemmer *et al.*, 2011). In recognition of the lack of data, an approach was developed to quantify disturbance by ship and helicopter traffic, allocating subjective scores to different bird species

recolonisation can take from a few months to many years, depending largely on the stability of the substrata, the installation methods used and whether any mitigation measures have been applied.

Sedimentary processes and pollution

Pollution can occur through the disturbance of contaminated sediments, discussed below, and through oil and hydraulic fluids leaking or leaching from the construction vessels and associated plant. Such pollution can have the effect both of feather oiling and negative changes to water quality.

Fine-grained benthic sediments tend to accumulate contaminants, reducing the toxicity to aquatic organisms. The physical disturbance of these sediments can lead to changes in the chemical properties of that sediment, and can in turn stimulate the mobilisation of contaminants within it (Eggleton and Thomas, 2004). This mobilisation and subsequent exposure to a different chemical environment can result in the transformation of contaminants into more bioavailable or toxic chemical forms (Sturm *et al.* 2002). While the processes are poorly understood, any increase in toxic contaminants will have potential lethal or sub-lethal effects on seabirds, and changes in the availability of nutrients could affect benthic communities and thereby have an indirect impact on seabirds.

Disturbance of the seabed during construction will result in an increase in suspended sediment levels and consequent increase in turbidity. Risks of collision with installation machinery may be increased under such conditions, although the response to other non-visual cues, such as vibration and noise, may compensate for the lack of visibility. However, the use of non-visual cues would require response recognition and learning, by which time collision already may have occurred. Furthermore the reduced visibility caused by increased turbidity could have adverse effects on foraging success; marine birds are thought to have a high sensitivity to reductions in visibility (Strod *et al.*, 2008).

Operation

Collision

This is considered the key potential effect during operation. There have been several studies of collision risk with both onshore and offshore wind turbines (Stewart *et al.*, 2007; Drewitt and Langston, 2008; Petersen and Fox, 2008; Langston, 2010) although wave and tidal stream devices will have a much smaller collision window than wind turbines (Grecian *et al.*, 2010). The risk is a novel one, and therefore it is impossible to accurately quantify based on our current knowledge. Collision may occur above or below

anchorage; whether it is detected above or below the water surface and how close it is before detection. When below surface devices are visible above the surface it is likely that they will be avoided. As such, devices closer to the surface are more likely to be detected and therefore avoided. Devices that are not detected until the bird itself is below water will be avoided to some extent, dependent on when detection occurs, in turn influenced by the nature of the environment and foraging behaviour, eg pursuit hunters or divers may have less time to react, but be more agile. The risk of collision may be increased if the devices alter the characteristics of the current, especially if such changes create new foraging opportunities, since this may impact on the manoeuvrability and underwater swimming agility of birds. The burst speed of birds, while considerably slower than the speed of the tidal turbine blade tip (Fraenkel 2006), is thought to be fast enough to enable escape from the path of the blades in many situations (Wilson *et al.* 2007). However, this does assume that birds detect the presence of the device in sufficient time, likely to depend on the bird's behaviour and visual perception of objects around them (Martin, 2010; Martin and Shaw, 2010).

Fixed structures are less likely to be risky than mobile structures, such as energy converters, anchor chains and cabling. The risks will be greater when the birds are diving for prey, therefore the highest risk is when devices are located within the foraging range of a species. Since the greatest risk is when the device is within the dive profile of a species, the risk to the largest number of species will occur when a device is located within the dive depth range. Conversely a device with a surface presence is likely to be detected before a dive commences, unlike one below surface, which may not be detected in time for avoidance behaviour to be initiated.

A number of structural elements of offshore energy devices, particularly turbine housing, articulations and mooring equipment, may entrap and kill seabirds. In studies of the impact of fisheries on seabirds, pursuit diving species, particularly alcids, are most at risk of entrapment in gill nets and other fixed gear (Tasker *et al.*, 2000). Experience in the USA indicates that fisheries bycatch in gill nets is a significant cause of mortality in divers along the Atlantic seaboard (eg Warden 2010).

Disturbance/habitat exclusion/displacement

Unlike tidal barrages, which can cause significant habitat losses (Clark, 2006; Fraenkel, 2006), other offshore renewable devices are thought to only cause such losses when inappropriately sited

Sands, Thames (Percival, 2010) indicate that red-throated divers are either absent or occur at substantially reduced density within the wind farm footprint and a buffer of at least 1km, by comparison with the situation prior to wind farm installation. This wider displacement is thought to be due to perception of the turbines or disturbance due to maintenance vessels. It is possible that the same would be the case with tidal and wave schemes, in particular because they are likely to overlap with favoured foraging areas of many species of marine bird (Daunt *et al.* 2006). The arrays will potentially cause a net loss of foraging area and potentially removal of prey resource (depending on the method of device attachment to the seabed). Habitat exclusion may cause increased competition for prey species in adjacent areas and could therefore have knock-on effects on adjacent populations of birds. There may be immediate impacts on individual foraging, or more far reaching consequences for bird populations.

Operational noise is likely to be considerably less than installation noise; however, this will depend on device type and environmental conditions. For example measurements of background underwater noise at the Strangford Narrows region of Strangford Lough, Northern Ireland, found high levels of high frequency noise, probably due to the fast tidal flow (Nedwell and Brooker, 2008). Above the surface of the water there is some evidence that noise may assist in avoidance (Inger *et al.*, 2009). There is evidence of the disturbance of breeding seabirds by human recreational activity (Beale and Monaghan, 2004) although it is unclear to what extent this is due to noise or visual cues. Underwater noise has been used to prevent waterfowl from foraging, in order to reduce commercial losses of farmed molluscs (Ross, Lien & Furness 2001). Very little is known about the importance of hearing underwater to birds and whether noise can disorientate them or adversely affect their foraging success. Marine noise and more especially vibration will potentially have a greater impact on fish, and could thus alter the distribution of fish prey around device arrays. Studies have found that noise, such as from shipping activity, can cause an avoidance or attraction in fish (Thomsen *et al.*, 2006). While it has been suggested that such impacts are likely to be limited to the immediate vicinity of the devices (Faber Maunsell & Metoc 2007), the sensitivity of fish to noise is unknown for most species, particularly those of importance to seabirds, such as sandeel *Ammodytes marinus*, and for those with a swim bladder, such as clupeids.

Sedimentary processes and pollution

The area around any moving parts of energy devices will be characterized by an increase in turbidity, associated with alterations of sedimentation patterns (Langhamer *et al.*, 201

large proportion of time on the sea surface relative to time spent flying and on land (eg divers and auks) will be at greater risk.

Industry guidelines (Carbon Trust 2005) exist to encourage developers to minimise the risks of hydraulic fluid leakage. The design of most devices is such that at least two seal or containment failures are required for the leaking fluid to reach the sea. Many devices avoid having mechanical components in contact with the sea, although this is not possible for devices such as rotors, which have to be in contact with the sea to operate. In such devices, leakage rates are likely to be small, as part of the approach to creating low maintenance devices will include the design of devices that do not require frequent oil replacement or grease injection into bearings. Where a design will result in some unavoidable seepage to the sea, the selection of biodegradable options for both hydraulic and lubricating oils and greases is a legal requirement.

Habitat creation

Man made objects in the marine environment are not necessarily negative in their effects; they also create new habitats, the effects of which can be positive as well as negative. However, it is extremely unlikely that their situation in undegraded habitats could produce ecological benefits. Above the surface they are frequently used as roosting points, below the water they act as artificial reefs. Effectively they both increase the amount of hard substrate and the three dimensional heterogeneity, both acting as attractants to marine organisms, and potentially increasing foraging opportunities for birds. However, such positive effects can be counterbalanced by the fact that any attraction will increase the probability of a negative interaction, such as collision. Also the species composition of these artificial reefs may not be the same as natural reefs and their presence may influence the species' diversity of adjacent habitats, promoting the establishment of non-native and/or harmful species (Langhamer *et al.*, 2009).

There is now preliminary evidence for the foundations of marine energy devices acting as artificial reefs, within which the suite of species was dominated by resident species whose diversity increased with time (Langhamer *et al.*, 2009). For the piscine species, it remains unclear whether this was a genuine increase, rather than redistribution because of the refuge from fishing activity which, while still a valid positive effect, is less significant.

Predation

Predation by mink of ground nesting birds, such as those in seabird colonies, has had considerable impact on the local populations of some species, for example terns in the Scottish Western Isles (Ratcliffe *et al.*, 2008). Arrays of devices with surface structures could provide a substitute for islet chains and have the potential to increase the risk of mink

Vessels

Vessels and other mobile machinery will be a source of risk both during installation and decommissioning and during maintenance and repair of the devices during the operational phase. There is an important distinction between maintenance and repair, in that maintenance can be scheduled around predictable ecological events, such as migration or chick provisioning, whereas the timetable of repair cannot be predictably scheduled, and can therefore have a greater impact during sensitive periods. The risk during operation is likely to be least, since the intensity of use will be restricted to maintenance and repair only, although repairs will have to be carried out promptly and there will therefore be less scope for any moderation of impact through scheduling. The risks from such vessels have been described in other sections.

Seabed structures

These will be used in a variety of generators, most commonly as vertical support piles. Fixed structures are less likely to create collision risk than mobile structures. Collision risks are most likely to pose the greatest risk in areas of strong water movement, such as areas of strong tidal flow or wave motion (Wilson *et al.*, 2006). While larger structures may create a larger surface area for collision, conversely the greater change in flow characteristics, and greater visibility, of larger structures is likely to mean they will be easier to detect and avoid. Oil platforms are known to alter the three dimensional structure of the seabed and create artificial reefs and augment local levels of benthic fauna and flora, zooplankton and fish (Wiese *et al.*, 2001), and fixed submerged structures for marine renewable devices are likely to behave similarly.

Mooring equipment

Any generator not directly fixed to the substrate will require mooring equipment, particularly surface floating devices. Static anchor blocks and plinths are unlikely to pose any great risk, although considerable disturbance is probable during installation. However, cables and chains extending up from the anchors will create an obstacle to diving birds and a consequent collision risk. In contrast to the larger structures described above while the collision window will be smaller, the detectability will be lower. The experience from the avian bycatch of trawl fisheries suggest it is long winged species that forage aggressively with their wings outstretched, such as larger petrels, that are most at risk of collision with cables.

Surface structures

Surface, and subsurface structures will be either fixed to the seabed via support piles or anchored via mooring equipment, and may be individual units or multiple articulated components

soaring raptors, it illuminates the unpredictable nature of the impacts from novel technologies. Key to the impact will be whether the blades are semi-shielded or fully shielded within a larger device or open, the latter particularly associated with tidal stream devices.

Traps

Several structures in combination can create traps, restricting options of movement thereby leading to a higher risk of collision. Birds could potentially dive into these structures and with movement restriction be unable to avoid collision. Examples of traps include ducts, venturi devices (see section 3) and combinations of turbines and surface corrals, and there is particular concern about venturi devices and turbines mounted in ducts.

Attractants

Some seabirds are attracted to large offshore structures (Wiese *et al.*, 2001), and there is a well-recorded phenomenon of higher concentrations of seabirds around platforms. The most important reasons may be the creation of a roosting refuge at sea and increased food availability around the structures.

Fish Aggregating Devices (FADs)

There is a well established body of literature detailing the tendency for a large number of fish species to aggregate around and beneath floating objects (Castro *et al.*, 2001). It is extremely likely that energy generating devices attached to the seabed but free to float on the surface will act as such FADs. It is unclear whether the effects of this on seabirds will be positive or negative.

Since the greatest possibility of underwater collision is when devices are located within foraging areas there exists a potential problem in the creation of good foraging areas around devices, as FADs would do; in other words attracting fish to a device would increase the risk of collision or other terminal effect. However, there is some evidence that FADs do not attract larger numbers of piscivorous seabirds (Jaquemet *et al.*, 2004), although this evidence is not as well established as the link between floating objects and fish. FADs act to concentrate, rather than increase recruitment to fish stocks so may not be directly beneficial to the fish population and consequently seabirds. However it has been argued (Inger *et al.*, 2009; Blyth-Skyrme, 2010; Grecian *et al.*, 2010) that because such indirect

Receptors (Birds)

Physiology

Visual sensitivity

Nearly all marine birds use sight to obtain prey, and have adaptations for amphibious foraging (Martin, 1998; Martin, 1999; Martin, 2007; Martin *et al.*, 2008). Most species are predators of fast-moving prey, and have binocular vision, with the exception of most seaducks that typically forage on more sessile prey such as bivalve molluscs. Very little empirical data exist on the importance of visibility, but it is likely to depend on the type of prey and the extent to which birds can switch to tactile foraging. Given that all marine birds use sight to some extent to obtain prey and that birds' vision can be affected by small levels of turbidity (Strod *et al.* 2004), collision risk can be expected to be greater in conditions of reduced visibility. Thus, diving species will be more at risk in turbid waters than surface feeding species.

Physiologically, birds that take food items directly in the bill under visual guidance have frontal visual fields with narrow and vertically long binocular fields (Martin and Shaw, 2010). There are also blind areas which project above and below the binocular fields, although the extent of these blind areas will vary from species to species. The importance of these blind areas is that when in flight or swimming, a bird actively looking for prey may be effectively blind in the direction of travel. While it is unclear how much this will be relevant for seabirds (in particular its relevance to birds underwater), it may have important implications in determining the risk of collision with subsurface structures.

Aural sensitivity

Very little is known about the importance of hearing underwater to birds and whether noise can disorientate them or adversely affect their foraging success. In general, there is less variation in hearing sensitivity among birds than among members of other vertebrate groups (Dooling, 2002) and compared to most mammals birds do not hear well at either high or low frequencies. Any adverse impact of additional noise from wave or tidal energy devices would be more likely to affect diving than surface-feeding species, in particular those feeding at night (Daunt 2006), since they cannot rely wholly on sight.

Marine noise and more especially vibration will potentially have a greater impact on fish

Change, and its predecessors). In addition there are surveys of the Round 3 offshore wind farm development zones commissioned by the Crown Estate and zone developers. The winter surveys have targeted inshore areas known to be important for seaduck, divers and grebes. However, some species are poorly covered by aerial survey, such as scaup, great crested grebe, red-necked grebe and Slavonian grebe, for which boat-based or land-based surveys may be more suitable monitoring methods (Lewis *et al.* 2008). The evolution of digital aerial surveys will increase the coverage resolution of aerial survey (Burt *et al.*, 2010).

Land-based surveys, mainly comprising the Wetland Bird Survey (WeBS) or local *ad hoc* seawatching surveys and data from bird observatories, extend only a short distance offshore into coastal waters, mostly ranging from 500m to 2km, depending on weather conditions (eg Musgrove *et al.* 2003; Austin *et al.* 2008a). These data provide an indication of species present in coastal waters and potentially of distributions further offshore, and may be particularly applicable in relation to wave and tidal stream devices in coastal waters.

Data on the distribution and abundance of wintering waders on UK coasts is collected systematically through two separate count schemes. WeBS covers mainly estuarine and enclosed coastal habitats (as well as inland wetlands) and counts are undertaken throughout each year (Holt *et al.*, 2011). The recording of gulls is optional for this survey and therefore not comprehensive. The Non-Estuarine Waterbird Survey (NEWS; previously Winter Shorebird Count) covers open coastal habitats considered suitable for waders and is undertaken at roughly 10-year intervals, with the most recent repeat in 2006/07 (Austin *et al.* 2008b). Survey coverage, although extensive, is not comprehensive and a random sampling design was used in 2006-07 to minimise bias in site coverage.

As these data on bird distributions develop, it is important that knowledge is also gained of how the birds move between areas, notably the origins of birds using sea areas proposed for deployment of wave and tidal stream devices. Knowledge of birds' origins, eg breeding colony, is an important factor in determining which population is relevant for assessment purposes. Such information will increasingly be derived from remote sensing technology, such as radiotelemetry, GPS data loggers and satellite tracking eg (Hamer *et al.*, 2000; Hamer *et al.*, 2001; Perrow *et al.*, 2006; Guilford *et al.*, 2008; Hamer *et al.*, 2009; Perrow *et al.*, 2010), as such technologies develop

and offshore waters around the whole of the UK. Currently, there is considerable debate about the inclusion of mobile species in English MCZs and, consequently, few of the MCZs identified in English waters include seabirds as interest features. The UK Government has 'executively devolved' the power to designate protected areas in the offshore waters around Scotland to the Scottish Government. These sites will be called Marine Protected Areas (MPAs). In addition, the Marine (Scotland) Act 2010 introduces a corresponding designation for nationally important areas in Scottish inshore waters, which will also be called Marine Protected Areas (MPAs).

With the exception of black guillemot, all UK seabirds are either listed on Annex I of the Birds Directive or qualify as 'regularly occurring migratory' species, and therefore confer a greater responsibility on the part of the UK Government for their conservation. Furthermore, the UK is host to several million breeding seabirds each year, representing a high proportion of the biogeographical populations of several species, most notably Manx shearwater, northern gannet, great skua and lesser black-backed gull (Reid in Mitchell *et al.* 2004).

For safety reasons, there will be no commercial fishing in the vicinity of wave and tidal stream devices. Therefore it has been argued (Inger *et al.*, 2009; Blyth-Skyrme, 2010; Grecian *et al.*, 2010) that sites where devices are located will act as *de facto* marine protected areas, although the habitat within them is unlikely to have the same ecological character as that within qualifying/designated MPAs. Curtailment of fishery activity through the requirements of health and safety legislation would not be simply an automatic consequence of installation; such restrictions would require enforcement. However, even outwith high quality habitats, any reduction in the pressure from trawled fisheries is likely to be of ecological benefit (Kaiser *et al.*, 2006), although this may not necessarily benefit seabirds. Any ecological benefit to birds will only apply if the birds and the fish coincide within the same space.

Breeding areas

An important factor in risk assessment will be the breeding status of birds. During the breeding season, seabirds are central-place foragers, tied to returning to the colony whilst actively breeding. Provisioning growing chicks is a particularly demanding stage of the breeding season and different species have different adaptations to dealing with these pressures. For example, terns generally make many short foraging flights to provide multiple deliveries of food, whereas shearwaters may be away on a single foraging trip of more than 24 hours when they are feeding chicks. For terns, this leads to elevated flight activity between the breeding colony and proximate feeding areas, although the locations of the latter may change as prey availability changes. In a

behaviour of wintering seabirds is crucial in assessing offshore energy schemes, and remote sensing technology, including tracking of individual birds, radar etc., will be important in obtaining this knowledge.

Foraging areas

Marine birds are at risk of collision because their foraging areas are likely to overlap considerably with areas suitable for wave and tidal energy schemes. This overlap is potentially much higher for tidal stream devices, since marine birds preferentially forage in areas of high tidal activity (Daunt, 2006). Since the risks of collision with underwater structures is greatest when birds are diving for prey (Grecian *et al.*, 2010) developments with the greatest effect will be within foraging areas. Birds tend to congregate in areas where their feeding efficiency is greatest.

A wide range of seabird species has been recorded at increased densities at tidal mixing fronts, notably northern fulmar, Manx shearwater, European storm petrel, northern gannet and auks. Various fish species concentrate to feed on plankton blooms associated with these seasonal fronts. Species such as northern fulmar, European storm petrel and Leach's petrel often forage at the edge of the continental shelf. Shallow waters around sandbanks attract foraging seabirds that feed on sandeel, eg terns, divers, shags, auks, northern gannets, black-legged kittiwakes (various authors cited in Ratcliffe *et al.* 2000). Currently, there is fairly limited, but increasing, understanding of the complex relationships between marine features and seabird foraging behaviour. Such understanding will be essential for identifying feeding aggregations for offshore SPAs and for risk assessment of wave and tidal energy schemes.

Rafting and moulting areas

Many seabirds, notably the seaduck, form rafts, particularly when overwintering at sea, gatherings of non-breeding birds away from breeding areas, or aggregations of breeding birds prior to returning to their nests, eg Manx shearwaters. There is potential for displacement from these areas, as this is often when the birds, for example common scoter (Kaiser *et al.*, 2002), are most reactive to vessels. This susceptibility to disturbance may be related to vulnerability, for example when flightless whilst moulting flight feathers.

Demography

Sensitivity to risk will vary as a function of avoidance ability, generally surface divers are slow and controlled whereas plunge divers have lower margins for avoidance (Grecian *et al.*, 2010). Species that dive underwater to feed are likely to be at greater risk of collision with sub-surface rotating turbines and mooring cables than those that feed at the surface. Considerable data exist on the foraging depths of a range of UK breeding seabirds. In general, these show that the distribution of a species through the water column depends on the maximum foraging depth. Shallow divers spend most time near the sea surface and progressively less time at depth, whereas deep divers (eg guillemots), which are principally benthic feeders, spend peaks of time at deep depths and at the surface but less time at intermediate depths. However, it is important to note that many of these studies are based on small numbers of individuals, often from a single colony, and the available means of study, such as Time Depth Recorders and individually mounted video cameras, have only recently become available. Therefore, it is not known to what extent such data are representative across a species' range, especially for species showing geographical variation in diet (eg guillemots breeding on the east and west coasts of Scotland).

Rhythm

Seasonal

The reproductive stage of a bird, including courtship, incubation and provisioning, will greatly influence the impact of a development. For example (Henderson *et al.*, 1996) found that the increased foraging demands on parent common terns during the breeding season caused a significant increase in their vulnerability to collision with power cables. This study also highlighted that the flight behaviour of juveniles was more risky than that of adults, as they flew consistently closer to the wires.

Diurnal

Collision risk has been considered to increase in those species which forage at night or are crepuscular (Daunt, 2006). However such species often have enhanced visual capability and this may make them quicker to respond to the presence of devices except where turbulence is a feature.

Tidal

Those species whose behaviour is governed entirely or in part by tidal rhythms may be more at risk when the point in the tidal cycle at which they forage preferentially coincides with poor or low light.

Time budgets

2. Wave and Tidal Stream Devices

Wave and tidal stream resources are of quite different natures, hence so too are the devices designed to capture energy from them (Appendix III). Waves are generated by wind passing over the water surface, which causes water particles to move in circular motions and carry kinetic energy, but it does not undergo a net movement itself. The quantity of wave energy is determined by wind speed and duration, the length of sea over which it blows (the 'fetch'), water depth, sea bed interactions and interactions with the tides. In principle, it is possible to extract almost all of the energy in a sea wave. By contrast, tidal energy occurs due to large movements of water in the sea, involving the entire water body from the surface to the seabed. Tidal energy may be extracted by conversion of potential energy of the tidal range (the rise and fall in water levels near the coast) by a tidal barrage (not considered further in this report), or kinetic energy of the tidal flow itself by marine turbines. The energy content of tidal streams is a function of current velocity, which is defined as the speed of water particles moving in the tidal stream in the mean flow direction.

One of the main advantages of wave and tidal energy is their predictability. Tidal power is highly predictable compared to other renewable energy resources (solar, wind, wave), while wave power is available up to 90% of the time, compared to 20-30% for solar and wind (Pelc and Fujita, 2002). A distinct advantage of tidal stream energy over most other renewable sources is its perceived invulnerability to climate change. Whereas wind, solar, wave and traditional hydro are susceptible to unpredictable changes in renewable energy fluxes brought about by shifts of climate regimes, tidal currents are thought to be immune to such disruptions (Pearce, 2005).

The UK's exploitable wave resource has been estimated at 50 TWh/yr, equivalent to c. 14% of UK electricity demand (Callaghan and Boud, 2006). The UK total tidal stream energy resource is estimated at c. 110 TWh/yr, of which the technically extractable resource is 18 TWh/yr, while the extractable resource for the rest of Europe was estimated at 17 TWh/yr (Black and Veatch, 2005). This assumed that only the most promising and economically viable schemes are developed and represents c. 5% of UK electricity demand.

The Atlas of UK Marine Renewable Energy Resources (ABPmer *et al.*, 2008) maps modelled wave and tidal resource around the UK. As such, it gives a good overview of the potential resource available and the areas of interest for wave and tidal stream development. However, it does not necessarily identify all areas of potential interest, particularly for the tidal resource close to land (< 1km), due to the size of the grid cell used for

The Pentland Firth is by far the largest tidal stream resource, followed by the Channel Islands, and together they account for over 70% of the UK's available resource. Other important sites in terms of energy resource include Rathlin Island (Northern Ireland), Mull of Galloway, Carmel Head (Anglesey), Isle of Wight, Islay, Portland Bill, Bristol Channel, Yell Sound (Shetland), Papa Westray and Westray Firth (both Orkney).

Wave energy

Attenuators

An attenuator is a device which sits high in the water column, or floats on the surface, and works perpendicular to the wave direction. Wave movements are transported down the length of the device. Often the device is articulated, with hydraulic rams positioned between the articulations, the compression of which drives an electrical generator to produce electricity. Power from all the joints is fed down a single cable to a junction on the seabed.

The best known example of an attenuator is the Pelamis, originally trialled at Leith in Scotland, then three trial versions of which were installed at Aguçadoura in Portugal in 2008, although technical and financial problems meant its recall. E.ON and Scottish Power Renewables have placed orders for second generation Pelamis in The Crown Estates' Round 1 wave and tidal development area west of Orkney.

Other attenuators include the C-wave, a deepwater floating system, the Dexawave, two pontoons hinged together, which is being tested in Danish waters, the Edinburgh Duck, although there has been no development of this recently, and the Wello Penguin, which is due to be tested at EMEC in 2011.

Point absorbers

A point absorber is a floating structure which absorbs energy in all directions through its movements at/near the water surface. The power take-off system may take a number of forms, depending on the configuration of displacers/reactors, from moored buoys to articulated units that absorb energy along the line of travel of the wave, some that react against an external weight or mooring, and others that internally self-react.

An example of a point absorber is the EGWaP (Electrical Generating Wave Pipe), a vertical pipe stretching from the ocean floor to the highest wave peak. An internal float and

system to generate electricity. An example of this is the AWS-III device, developed from the Archimedes Wave Swing and currently being tested (in miniature) in Loch Ness.

Oscillating wave surge converters

These devices extract the energy caused by wave surges with an articulated arm. The arm oscillates like a pendulum and is mounted on a pivoted joint. For example, the Oyster, which is being tested at EMEC in Orkney, is a hinged flap attached to the nearshore seabed at around 10m depth. Movement of the flap drives hydraulic pistons, which push water onshore, driving turbines. Testing of the larger Oyster 2 is due to begin in 2011 and installation is planned for Brough Head in Orkney.

A number of similar devices are in development, such as the Wave Roller, the bioWave, the Langlec and the Neptune Triton.

Oscillating water column devices

An oscillating water column is a partially submerged, hollow structure. It is open to the sea below the water line, and encapsulates a column of air in a chamber on top of a column of water. Waves cause the water column to rise and fall, which in turn compresses and decompresses the air column. This trapped air is allowed to flow to and from the atmosphere via a turbine, which usually has the ability to rotate regardless of the direction of the airflow (the most common type being the 'Wells turbine'). The rotation of the turbine is used to generate electricity.

An example is the Limpet which has been installed and operational on the coast of Islay since 2000. This was the first commercial wave power generator, and is situated on the shoreline.

Tidal Stream energy

Horizontal axis turbine

This type of device extracts energy from moving water in much the same way as wind turbines extract energy from moving air. Water is nearly 800 times denser than air, so a much smaller diameter of rotor is required compared to a wind turbine for the same power output. Devices can be housed within ducts to create secondary flow effects by concentrating the flow and producing a pressure differential. Turbines are bi-directional to function on both the

increases the current velocity through the turbines. As with the individual vertical axis turbines, the electrical equipment (generators and transformers) can be kept above the water.

Oscillating hydrofoil

This is a hydrofoil attached to an oscillating arm and the motion is caused by the tidal current flowing either side of a wing, which results in lift. This motion can then drive fluid in a hydraulic system to be converted into electricity.

An example of this is the Stingray, which was developed by the University of Strathclyde, although the project is currently suspended.

Venturi effect systems

The venturi effect is defined as the reduction in fluid pressure that results when a fluid flows through a constricted section of pipe. For tidal energy converting technology, this means that by housing the device in a duct, the flow past the turbine is concentrated. A funnel-like collecting device sits submerged in the tidal current. The flow of water can drive a turbine directly or the induced pressure differential in the system can drive an air-turbine installed on land. These systems are still developing technology.

3. Ornithology

When not directly cited, information has been derived from the sensitivity indices contained in Garthe and Hüppop (2004) and King *et al.* (2009). SPA and population details are from JNCC, otherwise from Birdlife International (Birdlife Seabird Wikispace: <http://seabird.wikispaces.com/> on 30/10/2011 and BirdLife International (2010) IUCN Red List for birds, downloaded from <http://www.birdlife.org> on 10/10/2011), Mitchell *et al.* (2004), Kober *et al.* (2009), Birds of Conservation Concern 3 (BoCC3 – Eaton *et al.*, 2009), and Langston (2010). The SPA data include SPAs for which the listed species' local population exceeds the one percentage threshold, or where it is part of the qualifying assemblage. A new SPA review is in progress, which may update the situation, combined with preparatory work for identifying marine SPAs.

Seaducks

Greater scaup *Aythya marila*

Conservation status

There are five SPAs for which greater scaup (hereafter scaup) is a qualifying species, all for wintering birds. Three of these are in England, one in Scotland and one, the Solway marshes, overlaps both. Scaup are red listed in BoCC3 (Eaton *et al.*, 2009), although not listed in Annex 1 of the EU Birds Directive. There are some 7560 individuals (Baker *et al.*, 2006) wintering in UK waters, and up to five pairs breed every year.

Distribution

Wintering scaup are found around the coasts of Britain, concentrating in estuaries on the east coast, the south west of Scotland and the north west of England, and can be found on fresh water lakes too. However distribution data are limited (Daunt, 2006).

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Since scaup spend the majority of their time on the water surface, they will be susceptible to contamination by floating pollutants.

Common eider *Somateria mollissima*

Conservation status

There are three SPAs for which the common eider is a qualifying species, two in Scotland and one in England, all of which are for wintering birds. Eider is included in the BoCC3 amber list, and not listed on Annex I of the EU Birds Directive. There are 31000 pairs in summer in the UK and 73000 individuals in winter (Baker *et al.*, 2006).

Distribution

They are almost entirely marine and most often found within 10km of the shore, although they can occur as far as 40km from the coast. During the breeding season, they are found around the coast of Scotland, particularly the west coast, and Northumberland, and generally nest in colonies. They remain in the adjoining coastal waters in winter in large flocks and also can be found on the Yorkshire coast, around the east and south coast of England, in Belfast Lough and along the Welsh coast.

Ecology

Eiders show a high degree of variability in their foraging behaviour and habitats. Their main prey are benthic invertebrates particularly bivalve molluscs, which they obtain usually by diving from the surface, but also, in shallower water by up-ending, head-dipping and trampling. In the majority of studies, though not all (see review in <http://seabird.wikispaces.com/Common+Eider> accessed 10/10/2011) they have been found to prefer mussels, preferring smaller individuals, as found in shallower water. However a study of wintering birds in Greenland (Merkel *et al.*, 2007) identified 39 different prey items, including polychaetes and crustaceans and so there is considerable variability in diet, in relation to availability of prey items, season and location. Although considered to be diurnal, nocturnal feeding does occur (Merkel and Mosbech, 2008) associated with greater levels of disturbance and the presence of predators. There is also large variation in dive behaviour, in part related to physiological state (

turbines in daylight, indicating visual cues (Desholm & Kahlert 2005). Those birds that entered the wind farm appeared to maintain maximum distance from turbines when they flew between the turbines. This may indicate that eiders have good night-vision. We have no evidence for what avoidance would occur in poor visibility caused by fog rather than darkness. Above surface structures associated with wave and tidal stream devices will have a lower profile above the water surface than turbines, so collision risk is expected to be reduced. Conversely, detectability is also lower and so collision risk cannot be completely eliminated. Eiders are low flying and have relatively poor manoeuvrability, (Garthe and Hüppop, 2004) and so must be considered to be of at least some risk of above surface collision, particularly in conditions of poor visibility.

Beneath the surface it is harder to predict collision risk. Eiders forage in benthic habitats, so a device anywhere in the water column will coincide with their dive profile at some point. If they rely on sight underwater, collision risk will be increased by turbidity. It has been demonstrated that there will be mussel growth on the structures associated with offshore renewable devices (Langhamer *et al.*, 2009) and there is therefore a danger, particularly if there are exposed turbines or a risk of entrapment, in attracting eiders to a device. This risk is increased by eiders' responsiveness to changes in habitats.

Since eiders spend much of their time on the surface, they will be susceptible to contamination by floating pollutants. They are fairly sensitive to disturbance (Garthe and Hüppop, 2004), and will modify their behaviour in response to human disturbance (Merkel and Mosbech, 2008). However their flexibility in exploiting habitat and food resources will reduce the effect of displacement from such disturbance.

Long-tailed duck *Clangula hyemalis*

Conservation status

There are three UK SPAs where long-tailed duck is a qualifying species; two in Scotland and one in England, all for wintering birds. The species is green listed in BoCC3 and is not listed in Annex1 of the EU Birds Directive. The British wintering population is 16000 individuals (Baker *et al.*, 2006)

Distribution

The main wintering areas in Britain have been identified as the Moray Firth and around Orkney, with smaller concentrations

Vulnerability

Surveys to determine the ecological effects of marine wind farms at Nysted and Utgrunden (Sweden) wind farms (Dierschke and Garthe, 2006) have shown long-tailed ducks are displaced by construction activities and by service boats. Numbers were also lower at both sites during operation; at Utgrunden, displacements appeared to be caused by service boats rather than by the turbines themselves. Long-tailed ducks are clearly susceptible to disturbance (Garthe and Hüppop, 2004; DONG *et al.*, 2006) and there is no evidence that they become habituated to it, therefore disturbance arising from installation and subsequent traffic associated with maintenance and repair are likely to effectively exclude them from foraging and rafting habitats.

Above water collision risk is increased by their low flight height, and while they often fly in daylight, some flights are made in darkness (King *et al.*, 2009), and the low profile of the devices may mean they are not easily seen. Below the surface they are generally, though not always, benthic feeders so devices and associated submerged structures will overlap their dive profile, except in deeper water (>20m). As foragers on benthic bivalves any increase in mussel growth associated with devices (Langhamer *et al.*, 2009) will act as attractants, and therefore increase the risks of collision, particularly with exposed turbines, and entrapment.

Long-tailed ducks spend a considerable proportion of their time on the water surface, and so are susceptible to both pollution and collision with vessels.

*Common scoter *Melanitta nigra**

Conservation status

There are nine UK SPAs with common scoter as a qualifying species, four in Scotland, three in England, one in Wales, and one, Liverpool Bay, in both England and Wales. Seven of these are for wintering birds; two of the Scottish SPAs are for breeding birds and are freshwater areas. Common scoter is red listed in BoCC3, although not included in Annex 1 of the EU Birds Directive. There are around 95 breeding pairs, and some 50000 individuals overwintering, in UK waters (Baker *et al.*, 2006).

Distribution

(<http://seabird.wikispaces.com/Black+Scoter> accessed 10/10/2011), most authors agreed that wintering birds rarely foraged in waters deeper than 20m, while breeding birds remained in areas less than 3m deep.

During the winter they remain entirely at sea, often remaining faithful to the same feeding area (Mudge and Allen, 1980).

Vulnerability

Common scoter are sensitive to disturbance by moving vessels at a distance of up to 2km (Kaiser *et al.*, 2006), although they will eventually habituate to regular disturbance (Schwemmer *et al.*, 2011). They are therefore likely to be displaced from any development site during construction, and may continue to be displaced by service vessels, although this displacement will reduce the risk of collision with the vessels. This displacement is likely to be the greatest impact on common scoter, and its effects may be magnified by their reliance on visual cues from conspecifics to find new feeding grounds.

Other above surface collisions are of increased likelihood because of a low flight altitude (Garthe and Hüppop, 2004). Sub surface collisions also may occur, particularly in the context that scoters do not find their prey visually and therefore may dive in conditions of poor visibility. Conversely, they are unlikely to be affected by any increase in turbidity. As with all diving seaduck the development of mussel beds beneath the surface will act as an attractant, and increase the risk of entanglement and collision. During the winter scoters live entirely at sea, and are therefore at risk of contamination by oil-based pollutants.

*Velvet scoter *Melanitta fusca**

Conservation status

Velvet scoter is a qualifying species for two UK SPAs, both of which are in Scotland, and both for wintering birds. The species is amber listed in BoCC3 and is not listed in Annex 1 of the EU Birds Directive. Around 3000 individuals overwinter in UK waters (Baker *et al.*, 2006).

constrained by daylight, any disturbance that prevents them foraging, particularly during the winter may have dramatic effects on fitness and survival.

Above surface collisions are of increased likelihood because of a low flight altitude (Garthe and Hüppop, 2004). Sub surface collisions also may occur, potentially reduced by their tendency to feed during daylight, although it is unclear how important sight is during their diving behaviour. The development of mussel beds beneath the surface may act as an attractant, and increase the risk of entanglement and collision. During the winter velvet scoters are entirely marine, therefore at risk of contamination by oil-based pollutants.

Common goldeneye *Bucephala clangula*

Conservation status

There are 12 UK SPAs with goldeneye as a qualifying species, six in Scotland, four in England, one overlapping both, and one in Northern Ireland. All, except Loch Vaa in the Scottish Highlands, are designated for wintering birds. Goldeneye is BoCC3 amber listed and is not included in Annex 1 of the EU Birds Directive. There are 200 pairs breeding in Britain, with 25000 individuals wintering (Baker *et al.*, 2006).

Distribution

In Britain, goldeneye breed in freshwater lochs in the Scottish Highlands, largely in Speyside. The wintering population is both freshwater and marine, and the marine population is restricted to sheltered inshore waters, although they are found throughout the British coast.

Ecology

The majority of ecological research on goldeneye has focused on breeding birds whose diet consists predominantly of aquatic invertebrates as well as amphibians, small fish and some plant material. In the winter, the diet is dominated by small crustaceans, in particular shore crabs, as well as some molluscs and small fish largely obtained on or just above the sea bed (Olney and Mills, 1963). The limited data available suggest that they prefer to feed at depths less than 2m (Jones and Drobney, 1986) although they can be found deeper in less favourable conditions (Winfield and Winfield, 1994).

Distribution

Uncommon in the sea during the breeding season, in winter red-breasted mergansers are common in coastal and estuarine habitats, generally in the north-west, with particular concentrations in the Solway Firth (Scotland), Duddon Estuary and Morecambe Bay (England), Traeth Lafan (Wales), north Norfolk coast and the Wash and Thames estuaries (England). They tend to be recorded within 10km of the shore, although surveys are biased toward inshore areas.

Ecology

Red-breasted mergansers are largely piscivorous, and their preference for salmonid prey has both brought them into conflict with man and dominated research themes. Their winter diet shows a preference for small shoaling fish, that they catch by diving from the surface and either pursuing prey or probing the substrate with their bill (Sjoberg, 1985). They are diurnal (Nilsson 1970).

Mergansers spend a relatively high proportion of time in flight (King *et al.*, 2009) and they are capable of very fast flight. They are also considered to have a moderate manoeuvrability, and a low flight height (*Ibid.*).

Vulnerability

The important vulnerability of red-breasted mergansers will be flight collisions with above surface structures, since they fly close to the water and can do so at considerable speed. As pursuit divers, they will have a moderate vulnerability to below surface collision, which may be increased by turbidity. The experience of marine wind farms has been that while service boats displace the mergansers temporarily, operating turbines do not cause major disturbance (Dierschke and Garthe, 2006), and so it is probable that they will not be greatly susceptible to disturbance.

Divers

Red-throated diver *Gavia stellata*

Conservation status

Ecology

They are largely piscivorous and considered to be opportunistic feeders (Skov and Prins, 2001; Guse *et al.*, 2009) eating both pelagic and bottom-dwelling species although most dives are no deeper than 9m. They are pursuit divers, and dive from swimming on the surface of the water. When breeding they will forage both at sea (Bergman and Derkson, 1977) and in larger freshwater lakes (Eriksson *et al.*, 1990). They catch prey items individually, and deliver them as such to the nest. When feeding at sea, they do so largely in coastal waters (Guse *et al.*, 2009), although aerial surveys in the Greater Thames area and subsequent modelling show an association with shallow sandbanks (Skov pers comm.) not only in inshore waters but considerably further offshore up to 30km. Their mean foraging range from the nest site has been calculated as 11.06 km, preferably foraging on tidal estuaries, mudflats and at surface fronts, although they can fly up to 50km from the nest. They are almost entirely diurnal. They have low flight manoeuvrability, and most flights will be between five and ten metres above the water (Garthe and Hüppop, 2004).

Vulnerability

Garthe and Hüppop (2004) gave their highest vulnerability scores to red-and black-throated divers, based in part on their conservation status as an Annex 1 species, but also other key ecological factors. Their assessment of flight manoeuvrability was low, which is not as important for below surface structures as it is for wind turbines, the focus of their analysis. There remains, however, a collision threat with any above surface infrastructure of wave or tidal stream devices, magnified by the low flight altitude and poor flight manoeuvrability of divers. Beneath the surface, they are likely to have a lower collision risk than plunge divers, since they will have a controlled and highly targeted foraging dive. However, the subsequent active pursuit of prey potentially increases collision risk. As relatively shallow divers, collision will depend in part on the position of the device infrastructure in the water column. Prior to diving they swim on the surface, searching for prey with their heads immersed or loafing. Because of this high proportion of time spent on the water surface, they are highly vulnerable to any contamination or pollution.

Despite a catholic diet, red-throated divers are dependent on a fairly narrow range of habitats, and therefore can be considered to be very vulnerable to displacement and habitat loss. During the breeding season they confine foraging to relatively close to

Distribution

The breeding population is concentrated in north western Scotland including the western and northern isles. Less is known about the wintering population, although significant concentrations are found on the west and east coasts of Scotland.

Ecology

Although always breeding by freshwater they forage in both coastal and freshwater systems. In winter they can occur in flocks of around 50 birds, as well as individually, almost entirely in marine areas. Very little is known directly about their foraging ecology, however much can be inferred by examining the more widely studied red-throated diver with which they share a number of ecological characteristics. Though there is no direct evidence, their mean foraging range from the nest has been estimated at 4km. They are considered to have low flight manoeuvrability.

Vulnerability

There is a paucity of direct information on black-throated divers on which to base any assessment of vulnerability. Garthe and Hüppop (2004) describe them as low flying and with low flight manoeuvrability, and so they will be at some risk of collision with above surface structures. There is evidence from a number of marine wind farms (review in Diersche and Garthe, 2006) that they show a strong avoidance of turbines, however this does not necessarily transpose to the less visible wave and tidal structures. In the absence of evidence to the contrary above surface collision should be considered a risk.

As pursuit divers, they will be less at risk of sub surface collision than plunge divers, although as far as is known they forage in relatively shallow water and this will decrease the risk, dependent on the position of any device or infrastructure in the water column. Turbidity may increase this hazard. There will also be a risk of entanglement in pursuit of prey, and this risk will be magnified if any structures act as Fish Aggregating Devices. In common with all divers they spend a lot of time on the water surface, and so will be at risk of contamination by pollutants.

Black-throated divers seem to be very susceptible to disturbance, either by human activity (Garthe and Hüppop, 2004; Schwemmer *et al.*, 2011), or by site infrastructure (Dierschke and Garthe, 2006). This disturbance will lead to displacement, and a

Ecology

There has been more research into the ecology of great northern divers than the other two congeneric species, however most of this has focused on the breeding period. During foraging they usually search for prey by peering into water while swimming, with eyes beneath surface. They will also search and probe around vegetation and objects in the water column and on the bottom while swimming underwater. In pursuit their eyes are fixed on the prey item (Barr, 1996; Evers *et al.*, 2010). Adults ingest most prey underwater although larger items will be brought to the surface and repeatedly manipulated before swallowing or discarding (Barr, 1996). In winter, great northern divers use two general foraging strategies: solitary and group foraging. Solitary foraging is an efficient strategy where fish prey is evenly spaced whereas group foraging is more effective where prey abundance is patchy, such as shoals of fish (Evers *et al.*, 2010).

Vulnerability

While there is a scarcity of direct data on great northern divers in the wintering period, it is known that they hunt visually, diving from the surface. As such, they are likely to detect any object before the dive has commenced and therefore be at low risk of sub-surface collision, although there is a risk of entanglement during pursuit. Although not included in either Garthe and Hüppop's (2004) or King *et al.* (2009) sensitivity indices, it can be assumed that their flight behaviour will be similar to the two congeneric species, and so they will be at risk of above surface collisions. Similarly, they will be vulnerable to disturbance.

They spend a high proportion of time on the water surface, so therefore will be susceptible to pollution.

Grebes

Great-crested grebe *Podiceps cristatus*

Conservation status

There are eight UK SPAs where the great-crested grebe is a qualifying species, six of which have a marine component. The majority are in England, with one each in Wales, Scotland and Northern Ireland. It is green listed in *BoCC3*, and is not

dive profile in marine habitats, however as an inshore species they are unlikely to dive deeply, and will not be vulnerable to sub-surface collisions. Garthe and Hüppop (2004) assess them as moderately disturbed by boat and helicopter traffic. Since they spend much of their time on the surface, they will be susceptible to contamination by oil-based pollutants.

Red-necked grebe *Podiceps grisena*

Conservation status

There are no UK SPAs for which the red-necked grebe is a qualifying species. It is amber listed in BoCC3, and is not included in Annex 1 of the EU Birds directive. Around 200 individuals overwinter in Britain (Baker *et al.*, 2006).

Distribution

Red-necked grebes are located mainly in inshore coasts and bays in the south and east of Britain. They occur mostly in marine habitats over winter. At their main site, the Firth of Forth, moulting adults appear from mid-summer and are present until spring.

Ecology

They feed on small fish and marine invertebrates (Wagner and Hansson, 1998), though little is known about how they obtain them.

Vulnerability

Garthe and Hüppop (2004) assessed red-necked grebe as having poor flight manoeuvrability and a fairly low flight height, so they will be at risk of above surface collision. Feeding in shallow sheltered areas they are not at risk of below surface collisions. They were assessed as being moderately disturbed by ship and helicopter traffic. Spending much of their time on the surface of the water, they will be at risk of contamination with oil-based pollutants.

Slavonian grebe *Podiceps auritus*

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Vulnerability

Assessed by King *et al.* (2009) as having a moderate flight manoeuvrability and relatively low flight height (5 – 10m), Slavonian grebes will have a moderate risk of above surface collision. Preferring shallow coastal water they will have a low risk of below surface collisions. They are moderately susceptible to disturbance by ship and helicopter traffic.

Slavonian grebes are vulnerable to changes in the quality of their inshore non-breeding habitats, and oil pollution has been shown to cause high winter mortality (Thom 1986). Since they spend a large amount of time on the surface, contamination by oil-based pollutants will be the greatest risk.

Fulmar

Northern fulmar *Fulmarus glacialis*

Conservation status

There are 24 UK SPAs with breeding fulmar as a qualifying species, all of which are in Scotland. Fulmar is amber listed in BoCC3 and is not included on Annex I of the EU Birds Directive. 538,000 pairs breed in the UK, the majority of them (90%) in Scotland (Mitchell *et al.*, 2004).

Distribution

Primarily an offshore species, high densities of fulmar are associated with the edge of the continental shelf to the north and west of Scotland, as well as offshore banks, such as Dogger and Rockall, in general preferring stratified and highly saline waters and the frontal zones between water masses (Camphuysen and Garthe, 1997). Although travelling considerable distances to feed, they remain associated with their breeding colonies through the year, most notably in Shetland, Orkney and the Outer Hebrides.

Ecology

There has been massive expansion in breeding numbers and range of the fulmar over the last two centuries. This is generally attributed to an increased availability of disc

terms of other impacts, they are likely to be less at risk. Below surface collisions are unlikely, since food is located by sight and taken from the top three metres of the water column, so any device would be visible to the bird. They do have some vulnerability to collisions with vessels and pollution, but this is less so than those species that spend considerably more time on the water surface. They will have a high tolerance of disturbance as they are used to exploiting human marine activities.

Shearwaters

Cory's shearwater *Calonectris diomedea*

Conservation status

There are no UK SPAs for either breeding or wintering Cory's shearwater, which is a rare passage migrant. It is not included in *BoCC3* but is listed on Annex 1 of the EU Birds Directive.

Distribution

Cory's shearwaters are only present during passage migration, mainly in August, and then only rarely, as they pass down the west coast of Scotland en route to wintering grounds in the southern hemisphere (Daunt, 2006). They are recorded more regularly in the ocean to the south-west of Britain (Stone *et al.*, 1995).

Ecology

Cory's shearwaters obtain prey from the surface of the water at shallow depths (Mougin and Mougin, 1998), and while their diet is dominated by fish they will also eat invertebrates, including pelagic cephalopods, driven to the surface by other predators (Granadeiro *et al.*, 1998).

Vulnerability

Despite their rarity in British waters, Cory's shearwaters must be considered at risk from collision with above surface structures, as they fly close to the water surface. Other risks are lesser; they are not at risk of below surface collisions or entrapment as they dive close to the surface and although they are a rafting species, they only occur on passage, so will spend only a relatively small amount of time on the water surface, therefore both collision with vessels or contamination with pollutants are lesser risks in UK waters.

Vulnerability

The greatest risk to great shearwaters will be that of collision with above surface structures, as they fly close to the water surface. They are not at risk of below surface collisions or entrapment when they dive close to the surface, although they have been recorded diving deeper, and when doing so they will be greater risk. They spend only a small amount of time in British waters therefore both collision with vessels or contamination with pollutants are lesser risks in UK waters.

Sooty shearwater *Puffinus griseus*

Conservation status

There are no UK SPAs for which the sooty shearwater is a qualifying species. The species is amber listed in BoCC3 and not included in Annex 1 of the EU Birds Directive. They are a passage visitor to the UK.

Distribution

Sooty shearwaters pass through UK waters on their return migration, mainly during July to November (Camphuysen, 1995), heading south. In the North Sea, large concentrations are found off the east coast of Scotland and England, south to the mouth of the river Humber, associated with spawning sites of herring (*Ibid.*). There can be considerable variation in numbers between years, ranging from low hundreds to several thousands recorded.

Ecology

The diet of sooty shearwaters is very catholic (Gould *et al.*, 2000). In Canada krill and soft bodied fish have been identified in the diet (Brown *et al.*, 1981), elsewhere cephalopods (Petty *et al.*, 2008), fishery discards (Valeiras, 2003), decapods and amphipods (Kitson *et al.*, 2000). They feed both by surface skimming and pursuit plunging (Weimerskir

(Mitchell *et al.*, 2004). They are on the *BoCC3* amber list, and not listed on Annex I of the EU Birds Directive. There are 299712 breeding pairs in the UK (Mitchell *et al.*, 2004).

Distribution

The main breeding colonies of Manx shearwaters are the islands of Skomer and Skokholm in Wales, and Rum, Scotland. Outwith the breeding season, they migrate to wintering grounds in the southern hemisphere, usually travelling along the western coasts of Britain. Within the breeding season there are temporal changes in the distribution. Initially, during May-June, the highest densities are found in the continental shelf areas west of Scotland and in the Celtic and Irish Seas, particularly around the main breeding colonies (Stone *et al.* 1995). Later in the season, during June-August, the highest densities are concentrated in the waters to the south and west of the Isle of Man, and in the Celtic Sea. See also Guilford *et al.* (2008) for GPS tracking maps based on foraging distributions of breeding birds at different stages of the season.

Ecology

Essentially piscivorous, Manx shearwaters will also eat cephalopods and small invertebrates. Piscine prey is largely small shoaling fish, especially clupeids such as herrings and sprats, associated with the frontal systems and stratified waters that are the preferred feeding areas. All prey is caught by seizing from the surface or by making shallow surface or plunge dives, mostly to depths of less than 3m (Brooke, 1990). However there is an increasing body of evidence from the application of remote sensing techniques of deep diving shearwaters (Burger, 2001; Burger and Shaffer, 2008) and depths of up to 70m have been recorded in five congeneric *Puffinus* shearwaters (Audubon's, Balearic, black-vented, short-tailed, sooty and wedge-tailed), (Ronconi *et al.*, 2010). It is therefore likely that Manx shearwaters also make deeper dives, although as for these other species little is known about their significance.

Feeding is often carried out within large rafts of birds, floating on the surface and diving for prey. These feeding rafts are distinct from those formed close to the colony, where birds gather before flying ashore at night (Wilson *et al.*, 2009), the rafts drifting closer to shore as the

Balearic shearwater *Puffinus mauretanicus*

Conservation status

There are no UK SPAs for which Balearic shearwater is a qualifying species. A passage migrant, the species is red listed in *BoCC3*, largely because of its global conservation status, which is “Critically Endangered”. It is also listed in Annex 1 of the EU Birds Directive.

Distribution

The Balearic shearwater is a rare passage migrant, seen off the southern coast of England, and also occasionally in the North Sea. There has been an increase in records of sightings, but this may be a function of increased awareness after a change in taxonomic status (Votier *et al.*, 2008).

Ecology

Balearic shearwaters feed mostly on small shoaling pelagic fish which they capture by plunge and pursuit diving. They will also scavenge fishery discards and have also been reported to capture fish from under floating drifting objects. (Arcos *et al.*, 2000). As with all *Puffinus* shearwaters, there are emerging data suggesting a capability for deeper dives than had been previously thought. One study, although limited to a single bird, found a majority of dives were within a depth of 10 m but with a maximum depth of 26 m (Aguilar *et al.*, 2003).

Vulnerability

sometimes by “pattering”, flapping their wings and kicking their feet across the water surface. Foraging is almost entirely carried out at night, and they commute between foraging sites and breeding colonies in the dark, probably as an anti-predator strategy. During summer nights, petrels will regularly forage inshore (D'Elbee and Hemery, 1998).

They return to breeding areas in April and May, and breeding begins in May and June. Colonies are formed on rocky ground, notably boulder beaches on offshore islands and stacks.

Vulnerability

While storm petrels are agile in flight, they fly low above the water surface, spend the majority of their time in flight, and when breeding most flights will be in darkness. Consequently they have a relatively high risk of collision with above surface structures. Conversely, as surface feeders they will have a low risk of below surface collision. They have a relatively high tolerance of disturbance, and spend little time sitting on the water, so will have a lower susceptibility to pollution.

Leach's storm petrel [Oceanodroma leucorhoa](#)

Conservation status

There are six UK SPAs for which Leach's storm petrel is a qualifying species, all in Scotland and all for breeding birds. Leach's storm petrel is amber listed in BoCC3 and is in Annex 1 of the EU Birds Directive. Around 48000 pairs breed in Britain (Mitchell *et al.*, 2004). The majority of these will winter in the tropics.

Gannet

Northern gannet *Morus bassanus*

Conservation status

There are nine UK SPAs for which breeding gannet is a qualifying species, eight of which are in Scotland and one in Wales. Gannet is amber listed in *BoCC3* and is not listed on Annex I of the EU Birds Directive, There are 219000 pairs breeding in the UK (Wanless *et al.*, 2005), a significant percentage of the global population.

Distribution

The northern gannet is a common offshore bird, but as they breed in colonies, the greatest concentrations are around these, particularly St. Kilda, Bass Rock, Grassholm and Ailsa Craig, at highest numbers during incubation. The most important foraging areas are the outer continental shelf and shelf edge, (Stone *et al.*, 1995).

Ecology

The gannet is the largest seabird in the North Atlantic. Strictly marine, only coming to land to breed, they are opportunistic, generalist predators; their diet is primarily shoaling pelagic fish including mackerel, herring and gadoids, which they capture from plunge dives from

There is evidence of gannets avoiding offshore wind farms both during and outwith the breeding season (Dierschke and Garthe, 2006; Lindeboom *et al.*, 2011), but there is no evidence of how they would react to smaller wave and tidal stream devices. As regular discard feeders they are not disturbed by shipping traffic. It is unclear therefore how disturbance would affect gannets, although displacement is the likely consequence. As they are arguably better buffered against reductions in food supply than most other seabird species, displacement would be likely to have less of a population scale effect, although cumulative displacement from a number of foraging areas by multiple developments would be more likely to do so. There is a Crown Estate Strategic Ornithological Support Services (www.bto.org/soss) project on gannets currently seeking to establish population vulnerability to increases in mortality in relation to wind farms; this will be of some relevance to wave and tidal stream in terms of indicating overall robustness of the population.

Cormorants

Great cormorant *Phalacrocorax carbo*

Conservation status

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detection (Martin *et al.*, 2008), and are therefore little affected by light conditions (Gremillet *et al.*, 2005; Enstipp *et al.*, 2007).

Vulnerability

Low flying, and with limited flight manoeuvrability (Garthe and Hüppop, 2004) cormorants will be very vulnerable to collision with any above surface structures. Their foraging strategy of close quarter prey detection will have competing influences on the risk of collision with underwater structures. The bird's poor visual acuity will increase collision

a function of prey availability, and switches of prey, habitat and foraging range can be abrupt (Wanless *et al.*, 1998). As such they are considered to be an opportunistic, and highly dynamic predator (<http://seabird.wikispaces.com/European+Shag> accessed 24/10/2011).

The plasticity in prey and habitat is also reflected in foraging strategy, as recently revealed by a study using camera loggers mounted on the birds (Watanuki *et al.*, 2008). This work showed that shags will forage solitarily for bottom-living fish in rocky habitats, but communally over sandy habitats where they forage for sandeel. In the rocky habitats they seek out prey in crevices or amongst kelp, travelling along the bottom; in the sandy habitats they are more stationary, probing the seabed with their bills. Rocky habitats are utilized at a variety of depths, 10 – 40m, whereas sandy habitats were foraged over at predominantly only two depths, 24 or 32m. No explanation was given for the precision of these two depths, but they occur within the range of depths indicated over rocky habitats, so

Vulnerability

There are no published data on flight manoeuvrability or height. As such the risk of above surface collisions cannot be determined at present. They do not dive for prey, obtaining it instead from the surface of the water, so will be at low risk of below surface collisions. It is unclear how they would respond to disturbance. A high proportion of their time is spent on the water surface, so they will be highly susceptible to contamination by oil-based pollutants.

Grey Phalarope *Phalaropus fulicarius*

Conservation status

There are no UK SPAs for which grey phalaropes are a qualifying species. They are amber listed in *BoCC3* and not included in Annex 1 of the EU Birds Directive.

Distribution

Grey phalaropes are scarce winter migrant to the UK. Wintering at sea, they are usually found offshore, (Brown and Gaskin, 1988), although storms can bring them closer to the coast.

Ecology

There has been little work on the non

kleptoparasitism, from the surface of the water, they are also of low risk of sub surface collisions. They are tolerant of disturbance, and will be

Ecology

Great skua diet varies according to stage of breeding season and age of the bird

Little

Ecology

Vulnerability

Garthe and Hüppop (2004) considered herring gull to be one of the least sensitive seabirds to negative effects from offshore wind farms. However, there are quite a few records of collisions, probably because of high levels of flight activity at these sites (Hötter *et al.*, 2006; Everaert and Stienen, 2007). It is unlikely that wave or tidal stream devices will pose much of a threat. Considered to be manoeuvrable in flight, they fly relatively high above the water surface and will therefore be at a relatively low risk of above surface collisions. As surface or near surface feeders, they also will have a low risk of below surface collisions. They are highly tolerant of the presence of man, and so will be tolerant of disturbance. With an extremely broad diet, they are unlikely to be susceptible to habitat loss.

*Iceland gull *Larus glaucooides**

Conservation status

There are no UK SPAs for which the Iceland gull is a qualifying species. The species is amber listed in BoCC3 and not included in Annex 1 of the EU Birds Directive. A winter visitor, approximately 200 individuals were found each winter in the UK in 2004 to 2009 (Musgrove *et al.*, 2011).

Distribution

can forage further offshore. Studies of birds in the Greater Wash observed individuals up to 53km offshore (Perrow *et al.*, 2010).

Ecology

Sandwich terns mostly plunge dive for prey when foraging, either entirely or partially immersing in the water, but will also snatch prey in flight from just below the surface or skim low over the waves to catch small fish emerging from the water. At the Greater Wash they spent 49% of their flying time above 20m height (Perrow *et al.*, 2010). The British population has a diet reliant on sandeel and clupeids, with sandeel important early in the breeding season, and clupeids increasing in importance during chick rearing. Their diet is less diverse than that of other terns, although they will eat fishery discards and invertebrates. While dive depths have been little studied, it is considered that prey are only taken from the upper two metres of the water column (<http://seabird.wikispaces.com/Sandwich+Tern> accessed 28/02/2012).

Vulnerability

Sandwich terns are very manoeuvrable in flight and fly relatively high above the water surface, so it is likely that they will be of a lower vulnerability to above surface collisions. As surface feeders, they are also at lower risk of sub surface

Common tern *Sterna hirundo*

Conservation status

There are 25 SPAs in the UK for which common tern is a qualifying species, all designated for breeding birds. Common tern is amber listed in BoCC3 and included in Annex 1 of the EU Birds Directive. 10000 pairs breed in the UK (Mitchell *et al.*, 2004).

Distribution

Common tern is a common and widespread breeding species in the UK, in both coastal and inland regions. Coastal sites tend to be mainly small rocky islets, shingle beaches, sand-spits and dunes, and inland sites beside freshwater bodies. The majority breed in Scotland, particularly in the western and northern isles and the west coast, but they are also associated with east coast firths. In England they are found in the north-east, East Anglia, and the south coast. They do not winter in the UK.

Ecology

Common terns are opportunistic, eating a variety of prey, mainly small fish, but also plank

Distribution

Turnstone are found throughout the coast of the UK, with concentrations on the coast of north-east England, the estuaries of north-west England, the north Kent coast, the east coast of Scotland, the Outer Hebrides, Orkney, and the east coast of Northern Ireland. Their preferred habitat is rocky, stony or seaweed covered shores.

Vulnerability

Turnstone are susceptible to human disturbance, with declines in local populations associated with increased disturbance (Burton *et al.*, 1996). As such, any developments on or close to the coast, and infrastructure and plant associated with developments further offshore, are likely to affect turnstone, leading to displacement.

Purple sandpiper *Calidris maritima*

Conservation status

There are two SPAs in the UK for which purple sandpiper are a qualifying species, one in Northumbria and one in Sanday, Orkney. Both are for wintering populations. They are amber listed in *BoCC3* and not included in Annex 1 of the EU Birds Directive. Some 18000 individuals overwinter in Britain (Baker

